



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BRIAN T. LEWIS

Application No.: 10/684,012

Filed: October 10, 2003

For: **METHOD AND APPARATUS FOR
FEEDBACK-BASED MANAGEMENT OF
COMBINED HEAP AND COMPILED
CODE CACHES**

Art Group: 2186

Examiner: THAI, TUAN V

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

11/15/2006 JBALINAN 00000003 10684012

01 FC:1806

180.00 OP

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

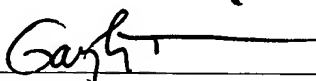
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 09, 2006


Mark C. Van Ness, Reg. No. 39,865

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (503) 439-8778

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Gayle Bekish

11-09-06

Date

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application Number	10/684,012
Sheet of 2				Filing Date	October 10, 2003
				First Named Inventor	Brian T. Lewis
				Art Unit	2186
				Examiner Name	THAI, TUAN V
				Attorney Docket Number	42P16119

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
		Brian Lewis, et al., "The Open Runtime Platform: A Flexible High-Performance Managed Runtime Environment," Intel Technology Journal, Vol. 7, Issue 1, pp. 5-18, February 19, 2003		
		Bala, et al., "Dynamo: A Transparent Dynamic Optimization System", PLDI 2000, Vancouver, Canada, 2000		
		Bill Venners, Free Online Chapters of "Inside the Java Virtual Machine", Chapters 5 and 9, available at http://www.artima.com/insidejvm/ed2/		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450